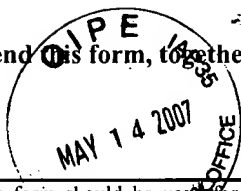


PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence regarding the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or indicated otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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27910 7590 02/12/2007

STINSON MORRISON HECKER LLP
ATTN: PATENT GROUP
1201 WALNUT STREET, SUITE 2800

KANSAS CITY, MO 64106-2150
 05/14/2007 FHE FEE 00000051 09606495

01 FC:1501 1400.00 DP

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| | |
|------------------------|--------------------|
| <u>Alonzo A. Scott</u> | (Depositor's name) |
| <u>Alonzo A. Scott</u> | (Signature) |
| <u>May 9, 2007</u> | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/606,495 | 06/29/2000 | Richard K. Ryan | 505483-0003 | 7482 |

TITLE OF INVENTION: METHOD FOR MANAGING A FINANCIAL SECURITY

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$0 | \$0 | \$1400 | 05/14/2007 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|-----------------------|----------|----------------|
| WEISBERGER, RICHARD C | 3693 | 705-036000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list
 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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1 Stinson Morrison
Hecker LLP
 2 _____
 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Security Benefit Life Insurance Company

Topeka, KS

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☒ A check is enclosed.
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☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 194409 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Penny R. Slicer
 Typed or printed name **Penny R. Slicer**

Date 5/9/07
 Registration No. 34,017

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Serial No.: 09/606,495
Docket No.: 505483-0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors : Richard K. Ryan et al.
Serial No. : 09/606,495
Filing Date : June 29, 2000
Title : METHOD FOR MANAGING A FINANCIAL SECURITY
Date of Notice of Allowance : February 12, 2007
Group/Art Unit : 3693
Examiner : Weisberger, Richard C.
Confirmation No. : 7482
Atty. Docket No. : 505483-0003

TRANSMITTAL LETTER

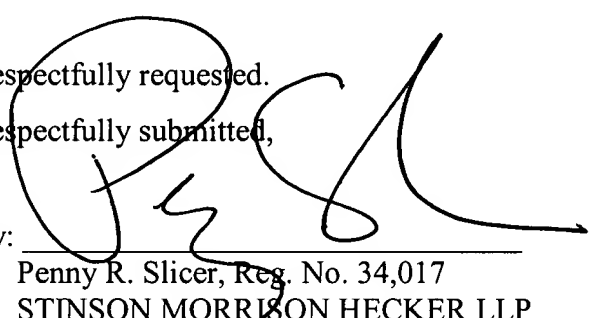
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Commissioner for Patents
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Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith for filing is the Fee Transmittal and a check in the amount of \$1,400 to cover the issue fee.

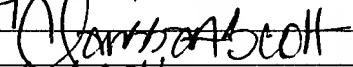
Acknowledgment of receipt is respectfully requested.

Respectfully submitted,

By: 
Penny R. Slicer, Reg. No. 34,017
STINSON MORRISON HECKER LLP
1201 Walnut Street, Suite 2900
Kansas City, MO 64106-2150
Telephone: (816) 842-8600
Facsimile: (816) 691-3495

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Date: May 9, 2007
Signature: 
Printed Name: Christina A. Scott

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